PTO/SB/21 (02-04) (AW 02/2004)

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TRANSMITTAL FORM

(to be used for all correspondence after initial filing)

Total Number of Pages in This Submission

Application Number	10/806,272	
Filing Date	March 22, 2004	
First Named Inventor	Yasushi Ayaki, et al.	
Art Unit	2134	
Examiner Name	Gregory Allan Morse	
Attorney Docket No.	MTS-3514US	

ENCLOSURES (Check all that apply)							
Fee Transmittal Form Fee Attached	Drawing(s) Licensing-related Papers	After Allowance Communication to Group					
Amendment/Reply (Preliminary) After Final Affidavits/Declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement Certified Copy of Priority Document(s) Response to Missing Parts/ Incomplete Application Response to Missing Parts under 37 CFR 1.52 or 1.53	Petition Petition to Convert to a Provisional Application Power of Attorney, Revocation, Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s) Remarks:	Appeal Communication to Board of Appeals and Interferences Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) Proprietary Information Status Letter Other Enclosure(s) (please identify below): 1 Chinese reference, US Corresponding Published Appl., Chinese OA w/English translation, return postcard					
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SIGNATUR	E OF APPLICANT, ATTORNEY OR AC	3ENI					
Firm or Individual Daniel N. Calder Name	Registration No. (Attorney/Agent) 27,424						
Signature Dand N. Cardo							
Date April 11, 2006							
CERTIFICATE OF TRANSMISSION / MAILING							
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on this date:							
Typed or printed name Beth Johnson							
Signature Set Ch		Date April 11, 2006					

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Office, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, ALEXANDRIA, VA 22313-1450.

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

10/806,272

Yasushi Ayaki, et al.

Filed:

March 22, 2004

Title:

DATA USE MANAGEMENT SYSTEM, TRANSMITTING APPARATUS HAVING MANAGEMENT FUNCTION, AND

DATA USE MANAGEMENT METHOD

TC/A.U.:

2134

Examiner:

Gregory Allan Morse

Confirmation No.:

1942

Docket No.:

MTS-3514US

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Mail Stop Amendment

Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

Pursuant to 37 C.F.R. §§ 1.97 and 1.98 and to the duty of disclosure set forth in 37 C.F.R. § 1.56, the Examiner in charge of the above-identified application is requested to place in the file of the above-identified application the references listed on the PTO/SB/08a and PTO/SB/08b submitted herewith. A copy of each of the listed references is also enclosed.

Although the information submitted herewith may be "material" to the Examiner's consideration of the subject application, this submission is not intended to constitute an admission that such information is "prior art" as to the claimed invention.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made.

The following reference is not in the English language:

1) CN 1301095

Reference 1) includes a corresponding published U.S. patent application.

Reference 1) was cited in an Office Action by the Chinese Patent Office in a patent application corresponding to the above-identified patent application. A copy of this Office Action is enclosed herewith. Also enclosed is an English translation of the Office Action.

No first Official Action has yet been received and it is presumed that none has yet been mailed. No fee or statement is required. 37 C.F.R. § 1.97(b).

Respectfully submitted,

Daniel N. Calder, Reg. No. 27,424

Attorney for Applicants

DNC/bj

Enclosures:

PTO/SB/08a and 08b Copy of 1 Reference

U.S. Corresponding Published Patent Appln.

Chinese Office Action w/translation

Transmittal Form

Dated:

April 11, 2006

P.O. Box 980 Valley Forge, PA 19482-0980 (610) 407-0700

The Commissioner for Patents is hereby authorized to charge payment to Deposit Account No. **18-0350** of any fees associated with this communication.

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April 11/2006

Beth Johnson

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PTO/SB/08a (08-03) (AW 10/2003)
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

titute for Form 1449A/PTO

(Use as many sheets as necessary)

Complete if Known			
Application Number	10/806,272		
Filing Date	March 22, 2004		
First Named Inventor	Yasushi Ayaki et al.		
Art Unit	2134		
Examiner Name	Gregory Allan Morse		

		SHEET 1	l of 2	Attorne	ey Docket No.	MTS-3	514US		
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		Document Number						Pages, Columns, Lines, W	/her
	Cite No.1	Number - Kind Code ^{2 (if known)}	Publication Da (MM-DD-YYY				Relevant Passages or Relevar Figures Appear		
		US 2001/0005890 (Corresponding to CN 1301095)	06-28-2001		T. NITAKI				
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			FOREIGN PA	IEN	1 DOCUMEN	115		Pages, Columns,	Т
Examiner Cite No.1	Cit -	Foreign Patent Document Country Code ³ - Number ⁴ - Kind Code ⁵ (I ^{f known}) CN 1301095 (Corresponding to US 2001/0005890)		D.,	Publication Date (MM-DD-YYYY) O6-27-2001 Name of Patentee of Applicant of Cited Document NEC CORP.				
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.

Applicant's unique citation designation number (optional).

⁶Applicant is to place a check mark here if English language translation is attached.

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²See Kind Codes of USPTO Patent Documents at <u>www.uspto.gov</u> or MPEP 901.04.

³Enter Office that issued the document, by the two-letter code (WIPO Standard St.3).

For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document.

Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible.

PTO/SB/08b (08-03)

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		Complete if Known	
	Application Number	10/806,272	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT (Use as many sheets as necessary)	Filing Date	March 22, 2004	
	First Named Inventor	Yasushi Ayaki et al.	
	Art Unit	2134.	
	Examiner Name	Gregory Allan Morse	
SHEET 2 of 2	Attorney Docket No.	MTS-3514US	

NON-PATENT LITERATURE DOCUMENTS						
Examiner Initials*	Cite No.1	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published	T²			
		Chinese Office Action dated February 17, 2006 for corresponding Application No. 2004-10033220.0 w/English Translation.				
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Examiner Signature	Τ	Date Considered				

¹Applicant's unique citation designation number (optional).

²Applicant is to place a check mark here if English language translation is attached.

The collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 120 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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